

PERMIT

NEW YORK STATE LIQUOR AUTHORITY

INSTRUCTIONS & APPLICATION for

ALCOHOLIC BEVERAGE CONTROL MISCELLANEOUS II PERMITS

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DIRECTIONS FOR COMPLETING THE PERMIT APPLICATIONS

- Must be **TYPED** or **PRINTED**. (In blue or black ink)
 - Complete applicable forms **FULLY**.
 - Follow instructions carefully.
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Completed applications and any supporting information should be mailed to:

**NEW YORK STATE LIQUOR AUTHORITY
PO BOX 3796
NEW YORK, NY 10008-3796**

ANNUAL BEER FUNCTION PERMIT- FEE - \$ 74.00

Complete questions 1 through 13 and 15. Complete signature sheet and indicate what type of permit you are applying for. This application is to be used by a Brewer or Beer Wholesaler for the issuance of an Annual Beer Function Permit, pursuant to §97.2 of the Alcoholic Beverage Control Law, to authorize the sale of beer for consumption only and not for resale, at outdoor or indoor gatherings, functions, occasions or events to which the general public will not be admitted and to which admission is not made contingent upon the payment of an admission fee, donation or contribution. Person who purchase beer for use at gathering must execute and file with the permittee a statement that the beer will not be sold or offered for sale.

BREWER'S OUTDOOR PERMIT - Just a letter is issued.

DISTRIBUTOR'S ALCOHOL PERMIT (A,B,C,D)

Complete questions 1 through 14. Complete signature sheet and indicate what type of permit you are applying for. A class "A" permit, Fee-\$**4,820.00** (3 years) shall authorize the holder thereof to sell and distribute to licensed rectifiers alcohol which is to be used for beverage purposes and to all holders of permits issued pursuant to this section or sections ninety-one and ninety-two of this chapter.

A class "B" permit, Fee - **\$2,420.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to holders of a class "C" alcohol distributor's permit or to holders of permits issued pursuant to section ninety-one and ninety-two of this chapter.

A class "C" permit, Fee-\$**500.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to holders of permits issued pursuant to section ninety-two of this chapter and to use alcohol in the preparation by him of any of the products enumerated in section ninety-one of the chapter. Such a permit may be applied for by and shall be issued only to a wholesale druggist.

A class "D" permit, Fee- \$ **1,172.00** (3 years) shall authorize the holder thereof to sell and distribute alcohol to the holder of winery licenses. Such permit shall be issued only to a person holding a winery license under this chapter. Such permits shall be issued in such form as may be prescribed by the liquor authority, and the privileges granted thereby shall be exercised in accordance with the terms and conditions thereof and the rules of the liquor authority.

WINE PRODUCTS PERMIT- (SEE FEE SCHEDULE PAGE)

Complete questions 1 through 13 and 15 and the signature sheet. Indicate what type of permit you are applying for. Permission is granted to the licensee hereafter designated under Sec. 79-a and 79-b of Alcoholic Beverage Control Law, to sell wine products containing not more than 6% alcohol by volume.

To obtain this permit and/or to exercise the privileges conferred by this permit applicant/permittee shall be subject to the following conditions:

1. The applicant must be currently licensed by a "basic license" to operate either a grocery; drug store; eating place; summer eating place; winter eating place; and the premises licensed must be open and operating; all as a prerequisite to the issuance of the Wine Product Permit.
2. This Wine Product Permit will only remain valid so long as the "basic license" remains in effect.
3. The sale of wine products under the permit shall be subject to the same rules, restrictions and conditions, as apply to the "basic license."

4. The permittee shall exhibit the permit upon demand, to any peace officer or any duly authorized representative of the Liquor Authority or the appropriate Local ABC Board having jurisdiction over the licensed premises.
5. Said permit shall be placed on display at all times next to "basic license" so that it will be plainly visible for inspection.
6. Sale of wine products to anyone under the age of twenty-one is prohibited and may subject the permittee to revocation of his Wine Product Permit and "basic license."

Revised 01/02/2014

MISCELLANEOUS II PERMITS

CODE	TYPE OF PERMIT	FEE	ORIGINAL FILING FEE	BOND
	ANNUAL BEER FUNCTION	\$ 64.00	\$ 10.00	NO
	BREWERS OUTDOOR (JUST A LETTER NEEDED)	NONE	NONE	NO
DA-633	DISTRIBUTORS ALCOHOL "A" (3 YEARS)	\$4,800.00	\$ 20.00	NO
DR-634	DISTRIBUTORS ALCOHOL "B" (3 YEARS)	\$2,400.00	\$ 20.00	NO
DC-635	DISTRIBUTORS ALCOHOL "C" (3 YEARS)	\$ 480.00	\$ 20.00	NO
DD-636	DISTRIBUTORS ALCOHOL "D" (3 YEARS)	\$1,152.00	\$ 20.00	NO
	WINE PRODUCT PERMIT Cities of Albany, Buffalo, Rochester Syracuse, Yonkers, City of New York (including the boroughs of Manhattan, Bronx, Queens, Brooklyn and Staten Island)	\$ 165.00	NONE	NO
	WINE PRODUCT PERMIT For all other locations	\$ 83.00	NONE	NO

Revised 02/10/14

13) Has the applicant or (if a partnership) any of the partners or (if a corporation) any of the officers, directors or stockholders any interest, directly or indirectly, in any premises or business where any alcoholic beverage is manufactured or sold at wholesale or retail, whether by **stock ownership, interlocking directors, mortgage or lien on, or ownership of any real or personal property, or by any other means including loans.**

Yes () No ()

14) For Distributors Alcohol Permits: (Complete This Section)

State whether any other business of any kind will be carried on in said premises:

Yes () No ()

If so, state type of business: _____

State how long applicant has been engaged in such business: _____

State whether applicant holds any Federal permit of any kind whatsoever: _____

If so, give full details regarding the same, including the amount of alcohol which may be purchased thereunder and purpose for which it will be used:

State whether the applicant owns, leases or operates any branch office, warehouse, storage or distributing plant other than at the premises to be licensed:

If so, give the address of such other premises and the purpose for which the alcohol will be used:

15) Has the applicant filed simultaneously with this application an application for any other type of license for the premises for which this application is filed for:

Yes () No ()

THE FOLLOWING CERTIFICATION MUST BE SIGNED AND DATED BY
INDIVIDUAL APPLICANT AND EACH MEMBER OF A PARTNERSHIP

The undersigned, each for himself, certifies that he is the applicant above named; that he knows the contents of the above application and the statements contained therein and the same are true of his own knowledge. The undersigned certifies that he/she has read the conditions for the permit applied for and agrees to comply with those conditions.

_____	_____	_____
_____	_____	_____
_____	_____	_____
(Signature of applicant or of each partner)	(Residence)	(Home Phone)
_____	_____	_____
(Print Name)	(Dated)	

THIS CERTIFICATION TO BE SIGNED AND DATED BY A CORPORATION

_____ certifies that he is _____
(Title)
of the above named applicant corporation; that he knows the contents of the above application and the statements and answers therein; that the same are true of his own knowledge; that he has been authorized, by order of the Board of Directors of said applicant corporation, group or association to make the statements and answers in this application in behalf of said corporation with the same force and effect as if said corporation made such statements and answers itself. The undersigned certifies that he/she has read the terms and conditions for the permit applied for and agrees to comply with those conditions.

_____	_____
(Signature of authorized officer)	(Street Address)
_____	_____
(Print Name)	(City, Town or Village)
_____	_____
(Zip Code)	(Telephone #)

(Date)	